

### Food Establishment License Application (including Mobile Units)

This is an application for obtaining a food establishment license from the (Iowa Department of Inspection, Appeals and Licensing). Iowa law prohibits a food establishment or food processing plant from opening or operating until a license has first been obtained from the appropriate regulatory authority. *Completed applications and documents must be submitted at least 30 days prior to the anticipated opening date.* 

The application must be fully completed and returned with all necessary documents and fees to the (Iowa Department of Inspections, Appeals and Licensing). **INCOMPLETE APPLICATIONS WILL BE RETURNED WITHOUT REVIEW.** 

Once applications and other required documents and fees are received and processed, the Department will review the documents and provide the applicant with the assigned inspector's contact information by letter once the application is processed. The applicant is responsible for contacting the inspector to schedule a pre-operational inspection. Plan submission is required for new construction and remodels; the Department will review the plans and communicate the results of the plan review to the applicant. Plan reviews generally take 3 to 4 weeks. It would be beneficial to submit the application prior to beginning construction, remodeling, or alteration of a facility. There is no fee for plan review. Please note, failure to provide all required information could delay plan approval. If you are remodeling a licensed facility already owned by you submit plans only with your license number and notify your inspector.

\*Remodel facilities with no change in ownership or location need only submit a floor plan and the list of equipment for the specific area(s) of the food establishment that are affected by the remodel submitted to the address below.

MAILING ADDRESS: Iowa Department of Inspection, Appeals, and Licensing Food Safety Bureau 6200 Park Ave STE 100, Des Moines, Iowa 50321 Phone number (515) 725-5340 Food

### Application Checklist: Your application must include all of the following information:

- □ A fully completed Food Establishment License Application
- $\Box$  A copy of your intended menu
- □ Facility floor plan and equipment schedule (new construction or remodel)
- □ Water test (if using well water)
- □ Appropriate fee (check, money order, or cash)
- Copy of your or your staff member(s) current Certified Food Protection Manager Certificate(s) (if available, due

within 6 months of opening)

- □ Procedures and plans where specified in the Iowa Food Code
  - HACCP plans (if applicable ) see Iowa Food Code section 8-201.13
  - o Procedures for clean-up of bodily fluids (all establishments) see Iowa Food Code Section 2-501.11
  - o Employee health reporting policy (all establishments) see 2-103.11

Date of Application:				
Anticipated Date of Opening or Ownership Change:				
PHYSICAL LOCATION INFORMATION				
NAME OF FOOD ESTABLISHMENT:				
ADDRESS OF FOOD ESTABLISHMENT:				
Address and Suite #	City	State	Zip Code	
County				
	( )			
Email address – (we do not share this).	Cell or Alternate	Phone Numbe	er	
( )				
Business Phone Number	Fax Number			

MAILING ADDRESS (If Other Than Above): All licensing, renewals and regulatory correspondence will be sent to this address:

Name

Address and Suite #

City/State

Zip Code

License Type: (please select one of the following)

□ **Food Service Establishment** ("Food service sales" are <u>taxable food</u> or beverage sales **or** food or beverages sold for <u>on premises consumption</u> including alcoholic beverages, this may include up to \$20,000 in retail sales)

□ **Retail Food Establishment** ("Retail sales" are non-taxable food or food products and beverages to consumer customers intended for preparation or consumption off the premises.)

□ **Both Food Service and Retail Food** (needed if establishment has "food service sales" and more than \$20,000 per year in "retail sales").

□ **Mobile Food Unit** – also select Food Service if you have a commissary at the same physical address. If you have a commissary at a different location an additional application is required for that location.

### All applicants must select one of the following:

New construction of a food establishment - plan review & Equipment
Schedule required.

□ A New food business in an physical structure not previously a food related business. Plan review & Equipment Schedule required.

□ Moving an existing food business to a new location. Current Location Address:

Plan review & Equipment Schedule are required only if remodeling the new location. Current License # \_\_\_\_\_.

□ A currently operating food business that will have new ownership with same menu type and food service style and the facility has been actively licensed and <u>has been operational within the last 3 months</u>. Name of previous owner

□ Opening a food business that has been non-operational for more than 3 months. List name of previous owner (if known)

□ Opening a new food business in a food facility that has been operational within the last 3 months AND there will be a significant menu or food service style change. For example – change from a fast food style restaurant to a full service facility. List name of previous owner \_\_\_\_\_\_.

Other, Describe\_\_\_\_

(If you are sharing a kitchen with another licensed business please note here.

### **ESTABLISMENT SERVICE INFORMATION**

### TYPE OF SERVICE (Check all that apply)

### Retail Service (perishable non-taxable food and ingredients sold for off premises consumption)

	Retail Grocery Store		Retail Deli Departm	ent			Retail Candy Store
	Retail Meat Department		Retail Bakery Depar	tme	nt		Variety Store
	Retail Seafood Department		Retail Salvage Food				Other Retail Store
	Retail Produce Department		Retail Convenience	Stor	e		Specify
Food Ser	vice (taxable food sales of prepared food o	r bev	erages for consump	tion	on the premises)		
	Dine-in Food Service				• •		r preparation location for
	Take-out Food Service				and mobile food un		ts including vending machines
	Buffet Service				Concession Stand		
	Salad Bar Service				Food Service Deli		
	Alcoholic Beverage Service (no food prepa	ratio	n)		Convenience Store	Foo	d Service
	Alcoholic Beverage Service (with food prep	oarati	on)		Continental Breakfa	ast	
	Catering				Other Food Service	Spe	ecify
Mobile F	ood Unit						
	Ice Cream (pre-packaged)		Concessions Truck/T	raile	er [		Other Mobile
	BBQ Unit	_	Taco Truck				Specify
	Push Cart		Frozen Food (pre-pa	ckag	ged)		
Food Ser	Push Cart vice in an Institutional setting		Frozen Food (pre-pa	ckag	ged)		
Food Ser			Frozen Food (pre-pa	_	Elderly Nutrition Pr	-	am/Senior Center (production
Food Ser	vice in an Institutional setting		Frozen Food (pre-pa	_	Elderly Nutrition Prant and/or service site)	-	
Food Ser	vice in an Institutional setting Assisted Living (production and/or service Assisted Living (service site only) Elementary School (including K-5) (Produc	site)		_	Elderly Nutrition Prant and/or service site)	-	am/Senior Center (production am/Senior Center (service site
Food Ser	vice in an Institutional setting Assisted Living (production and/or service Assisted Living (service site only)	site) tion a	ind/or	_	Elderly Nutrition Prance and/or service site) Elderly Nutrition Prance Site	ogra	am/Senior Center (service site
Food Ser	vice in an Institutional setting Assisted Living (production and/or service Assisted Living (service site only) Elementary School (including K-5) (Produc service site)	site) tion a site c	ind/or only)	_	Elderly Nutrition Prant and/or service site) Elderly Nutrition Prant only)	ogra ent	am/Senior Center (service site food service)

#### MENU INFORMATION

Full Service Menu (numerous items) ** attach menu	Limited Menu	(a few items) *	* attach mer	าน
Do you plan on serving any animal food undercooked, raw, or co List:If yes, is		YES visory on your	NO menu? Y	YES NO
Do you have or have you applied for an alcoholic beverage licens	se? 🗆 YES		] <b>N/A</b>	
PROJECTED CAPACITY				
Number of seats = (Include inside and outside sea	ting as described	d in the instruc	tions. Mark '	0' if no seating provided)
Patrons served daily (projected) =				
EMPLOYEE INFORMATION				
Anticipated # of employees/volunteers, including owne	er =	-		
Do you have one or more Certified Food Protection Ma	nager(s) on Staf	f who has supe	ervisory respo	onsibility?
	YES 🗌 NO	🗆 Exempt (	only prepack	aged food and beverages)
If YES, Please attach a copy of your Na		••		
If NO, Do you have a Person-In-Charge		•	•	🗆 NO
If YES, Name, Date, and Location of Co	urse		_	
Do you have procedures and plans where specified in the Health Reporting Policy, Standard Operating Procedures, If yes, atta If no, plea opening ir	, Bodily Fluid Cle ach copies se have any requ	an-up Procedu	res): 🗌 Yes	

### FACILITY FLOOR PLAN & EQUIPMENT SCHEDULE REQUIREMENTS

ALL "NEW FACILITIES" AS DESCRIBED IN THE FACILITY TYPE SECTION MUST ATTACH FACILITY PLANS AND SIGN BELOW. All facilities must submit ONE copy of a facility floor plan/layout, <u>EXCEPT</u> for CHANGE OF OWNERSHIP FOR AN EXISTING FACILITY WHERE NO CONSTRUCTION, REMODELING, OR CHANGES ARE GOING TO OCCUR. This plan must include;

- the basic lay out of the facility,
- the location of all food service equipment,
- a listing of the equipment (including manufacturer's names and model numbers),
- water and sewer connection locations,
- restroom locations and fixtures,
- lighting schedules,
- surface or finish coat materials of floors, walls and ceilings, and
- A site plan showing exterior building structures (including storage areas, trash receptacles, outside refrigeration units, etc....).

Plans may be hand drawn, to approximate scale, and must be neat and legible. Plans will not be returned to you.

#### \*The appropriate floor plan AND equipment list are attached to this application.

Applicant Signature\_\_\_\_\_

#### WATER, SEWER, WASTE INFORMATION

#### WATER: The facility is using: (Check which one applies)

A public or municipal water supply.

A non-public / non-municipal / private water supply (example: well water). A current water test must be provided. Mobile Unit: Operators must always use water from a tested and approved source. Water source documentation must be maintained on the mobile food unit.

#### SEWER: The facility is using: (Check which one applies)

A municipal/public sewage disposal system. A non-public sewage disposal system For Mobile Units: Appropriate sewage/waste holding tanks that will be disposed of at approved sanitary sewage disposal sites.

#### **REFUSE (trash collection): (Check all that apply & complete fully)**

#### DAYS OF OPERATION & TIME (Check days which apply & complete time facility is open and accessible)

Sunday	Time	Thursday	Time
Monday	Time	Friday	Time
Tuesday	Time	Saturday	Time
Wednesday	Time		

□ **If Seasonal**: Indicate months of operation:

	If Mobile:	List events or	locations at which	you intend to	set up/sell:
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# **OWNERSHIP INFORMATION** (Select the ownership type and complete the corresponding ownership box in the next section)

- □ SOLE PROPRIETOR
- □ PARTNERSHIP
- □ CORPORATION
- □ NON-PROFIT ORGANIZATION

□ LIMITED LIABILITIY CO. (LLC) OR PARTNERSHIP (LLP)

- □ SCHOOL (K-12)
- □ GOVERNMENT/MUNICIPALITY

#### Please complete only the section that applies to your type of ownership structure:

#### Sole Proprietor

First Name	Alternate or Cell Phone ( )
Last Name	Email
Address:	Fax ( )
City: State: Zip:	
Phone ( )	Signature
	Signature Print Name

## Partnership

Partnership	
General Partner#1	
First Name	Alternate or Cell Phone ( )
Last Name	Email
Address:	Fax ( )
City: State: Zip:	
Phone ( )	Signature
	Print Name

### **General Partner#2**

First Name	Alternate or Cell Phone ( )
Last Name	Email
Address:	Fax ( )
City: State: Zip:	
Phone ( )	Signature Print Name
	Print Name

### Please list additional Partners on a separate sheet of paper

### **Corporation**

Corporation Name			Alternate or Cell Phone ( )
Address City:	State:	Zip:	Fax ( )
Phone ( )			Email
President/CEO			Official Title of Signatory
Name of Corporate Official			Signature of Corporate Official Print Name

### **Non-Profit Organization**

Name of Non-Profit Organiza	ation		Alternate or Cell Phone ( )
Address City:	State:	Zip:	Fax ( )
Phone ( )		•	Email
Organization President			Official Title of Signatory
Name of Organization Officia	al		Signature of Organization Official Print Name

### Limited Liability Company (LLC)

Name of LLC			Alternate or Cell Phone ( )
First Name			Email
Last Name			Fax ( )
Address:			Officer Name (Please Print):
City:	State:	Zip:	
Phone ( )			Signature

### Limited Liability Partnership (LLP)

Member #1			
First Name			Alternate or Cell Phone ( )
Last Name			Email
Address:			Fax ( )
City:	State:	Zip:	
Phone ( )			Partner Signature
			Printed Name
Member #2			
First Name			Alternate or Cell Phone ( )
Last Name			Email
Address:			Fax ( )
City:	State:	Zip:	
Phone ( )			Partner Signature
			Printed Name

### Please list Additional Partners on a separate sheet of paper.

### **Government/Municipality**

Name of Agency	Email
Address City: State: Zip:	Agency Official's Name (PRINT)
Phone ( )	Agency Official's Title
Alternate or Cell Phone ( )	Agency Official's Signature
Fax ( )	

### <u>School (K-12)</u>

Name of School District			Fax ( )	
Address	Chatas 75		Name of Superintendent	
,	State: Zip:			
Phone ( )			Name of Signatory	
Alternate or Cell Phone ( )			Title of Signatory	
Email			Signature of Official	
On-Site Contact (attach additiona	l contacts if needed)		1	
NAME			TITLE	
BUSINESS ADDRESS:		CITY	STATE	ZIP
PHONE ( )	_ CELL PHONE (	)	E-MAIL ADDRESS	
EMERGENCY CONTACT				
NAME			TITLE	
BUSINESS ADDRESS:		CITY	STATE	ZIP
PHONE ( )	CELL PHONE (	)	E-MAIL ADDRESS	

### PLEASE CONTINUE TO THE LAST PAGE IF YOU ARE NOT APPLYING FOR A MOBILE LICENSE

#### PLEASE COMPLETE THE SECTION BELOW ONLY IF YOU ARE APPLYING FOR A MOBILE FOOD UNIT LICENSE:

<u>Mobile Food Unit Applicants</u>: Please verify that all information is accurate and sign where required, you may copy this page and include it with this application form for each unit owned provided the Home Base address is the same for all units.

**<u>Unit Identification: REQUIRED</u>** Complete all sections. Mark N/A if not applicable.

VIN Number or Serial Number				
License Plate No. and State	Make		Model	
Unit and/or Truck Number	Year	Size	Color	

#### Home Base of Operation

List the address of the Home Base for the Mobile Food Unit (This is where the unit will be serviced or stored when not in operation)

Street Number and Name

City

Zip Code

State

County

If the Home Base is a licensed food establishment, provide the license number. If not, state N/A: \_\_\_\_\_\_

All food storage and preparation must be done in the mobile unit or in your licensed food establishment/commissary.

#### Additional Requirements

If the unit is normally set up in the same location each day and does not have a plumbed restroom, an agreement with a neighboring business for use of a restroom must be obtained. (Please attach restroom agreement and enter address here)

I understand mo	bile food units may only operate up to three days in one location unless they return to their home base of operation
each day.	Signature

I understand all food service operations must be conducted within the mobile food unit with the exception of grills and smokers. Signature

#### Additional Permits

Check with City and County government agencies to if additional permits are required

#### Verification

A copy of the unit license and most recent inspection report must be posted on the unit in a conspicuous location.

I have read, and understand, the requirements in the Iowa Mobile Food Unit Operation Guide.

I verify all of the information contained in the application is accurate.

Signature \_\_\_\_\_\_ Printed name of Signatory \_\_\_\_\_\_

### **LICENSE FEES**-All applicants must select the appropriate license type and fee. <u>Refer to page 3-4 of this application to ensure</u> <u>that license types match.</u>

\*Pay from the appropriate Fee Schedule based on the following: A new establishment, as described on page 3 of this application, must pay the maximum fee indicated in the fee box that is applicable to the license(s) applied for. If this food establishment is a Change in Ownership as described on page 3 the fee level is set based on the gross annual sales of the previous owner, if the previous owner has operated the business within the last 3 months. Proof of the last 12 months of the previous owner's sales must accompany this application otherwise; the maximum fee must be paid.

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□ Food Service Establishment - Examples include restaurants, bars or taverns, take-out food, catering commissary, concession stands, etc. License fees are based on annual gross sales of "Food service sales" which are <u>taxable</u> food or beverage sales and/or food or beverages sold for individual portion service intended for consumption on the premises, including alcoholic beverages, and may include up to \$20,000 in retail sales. Select the appropriate fee:

- □ **\$0.00** Schools
- □ **\$150** Annual gross sales of \$1 to \$100,000
- □ **\$300** Annual gross sales of \$100,001 to \$500,000
- □ **\*\$400** Annual gross sales of \$500,001 +

#### OR:

□ <u>Retail Food Establishment</u> - Examples include grocery and convenience stores without prepared foods, bakeries without seating, etc. License fees are based on annual gross sales of non-taxable food or food products and beverages to consumer customers intended for preparation or consumption off the premises. Select the appropriate fee:

- □ **\$150** Annual gross sales of \$1 to \$250,000
- □ **\$300** Annual gross sales of \$250,001 to \$750,000
- □ **\*\$400** Annual gross sales of \$750,001 +

### OR:

□ **Both Food Service and Retail Food** (needed if establishment has "food service sales" and more than \$20,000 per year in "retail sales"). **Examples include- Grocery and Convenience stores that prepare food, Bakery with a sit down coffee shop, etc.** 

To determine the amount owed, select your dominant form of business above (Food Service Establishment or Retail Food Establishment) and select the corresponding fee based on sales (if proof of sales is not provided this fee will be \$400). Then add \$150 for the secondary license.

□ **\$150** for the secondary form of business (ensure Food Service or Retail Food Establishment Fee box is checked above)

OR:

□ **\$250** <u>Mobile Food Unit</u> – Examples include Food trucks and Push Carts. Must also select Food Service Establishment above if you have a commissary at the same physical address.

If you have a commissary at a different location an additional application is required for that location.

Submit payment to: Iowa Department of Inspection, Appeals, and Licensing Food Safety Bureau 6200 Park Ave STE 100,

Des Moines, Iowa 50321 Phone number (515) 725-5340

Make Checks payable to: Iowa Department of Inspections, Appeals and Licensing

### FOR OFFICE USE ONLY

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